

Day 1

Problem: entropy for Gaussian states

(1)

a) We have for a Gaussian state

$$\langle f_1 \dots f_i f_{i+1} \dots f_{2n} \rangle = \sum \text{possible products of two point functions} \\ = \langle f_i f_{i+1} \rangle \langle f_1 \dots f_{i-1} f_{i+2} \dots f_{2n} \rangle + \text{terms that do not contain } \langle f_i f_{i+1} \rangle$$

$$\langle f_1 \dots f_{i+1} f_i \dots f_{2n} \rangle = \langle f_{i+1} f_i \rangle \langle f_1 \dots f_{i-1} f_{i+2} \dots f_{2n} \rangle + \text{terms that do not contain } \langle f_{i+1} f_i \rangle$$

In this two expression the second term in the left hand side is the same.

$$\text{Hence } \langle f_1 \dots f_i f_{i+1} \dots f_{2n} \rangle - \langle f_1 \dots f_{i+1} f_i \dots f_{2n} \rangle = \langle f_i f_{i+1} - f_{i+1} f_i \rangle \langle f_1 \dots f_{i-1} f_{i+2} \dots f_{2n} \rangle = [f_i, f_{i+1}] \langle f_1 \dots f_{i-1} f_{i+2} \dots f_{2n} \rangle$$

This follows using the Gaussian law for correlators, but is the correct result since this is  $\langle f_1 \dots (f_i f_{i+1} - f_{i+1} f_i) \dots \rangle = [f_i, f_{i+1}] \langle f_1 \dots f_{i-1} f_{i+2} \dots f_{2n} \rangle$

It is important then that commutator are numerical for the variables in order to have a Gaussian state.

The linearity: if we take one of the variables in the expectation value as a linear combination,  $\langle f_1 \dots (a f_a + b f_b) \dots f_{2n} \rangle$  this is equal to  $a \langle f_1 \dots f_a \dots f_{2n} \rangle + b \langle f_1 \dots f_b \dots f_{2n} \rangle$ . The expression in terms of two point functions is also linear in each of the variables, giving the sought equation.

b)  $\langle \phi_i \phi_j \rangle^* = \langle \phi_j \phi_i \rangle = \langle \phi_i \phi_j \rangle$  so  $X_{ij}$  is real symmetric, and the same holds for  $P_{ij}$ . They are positive definite:  $\langle \alpha_i^* \phi_i | \alpha_j \phi_j | 0 \rangle = \| \alpha_j \phi_j | 0 \rangle \|^2 \geq 0$   
 $\Rightarrow \alpha_i^* X_{ij} \alpha_j \geq 0$

$$\langle \phi_i \pi_j \rangle^* = \langle \pi_j \phi_i \rangle = \langle \phi_i \pi_j \rangle - i \delta_{ij} \quad \text{then if } \langle \phi_i \pi_j \rangle = \frac{i}{2} \delta_{ij} + P_{ij}$$

it follows  $D_{ij}$  is real. Time inversion symmetry is due to an antiunitary operator  $T$  /  $T \phi T = \phi$ ,  $T \pi T = -\pi \Rightarrow \langle T \phi_i \pi_j \rangle = - \langle \phi_i \pi_j \rangle$

On the other hand, if  $T$  leaves the state invariant, being anticommutary  $\textcircled{2}$

$$\langle T\phi_i \pi_j \rangle = \langle \phi_i \pi_j \rangle^* \Rightarrow -\langle \phi_i \pi_j \rangle = \langle \phi_i \pi_j \rangle^* \Rightarrow D=0$$

$$c) \rho = K e^{-\sum \epsilon_\ell a_\ell^\dagger a_\ell}$$

$$\left. \begin{aligned} \phi_i &= \alpha_{ij} a_j^\dagger + \alpha_{ij} a_j \\ \pi_i &= -i\beta_{ij} a_j^\dagger + i\beta_{ij} a_j \end{aligned} \right\} \text{hermitians}$$

For each mode  $a_\ell$  there is an independent density matrix, The hilbert space of this mode has a basis on occupation number  $|m\rangle$ . The density matrix is diagonal on this basis with eigenvalues  $e^{-\epsilon_\ell m}$ . Then the normalization constant is  $(\sum_{m=0}^{\infty} e^{-\epsilon_\ell m})^{-1} = (1 - e^{-\epsilon_\ell})$ . The normalization constant

$$\text{for } \rho \text{ is } K = \prod_{\ell} (1 - e^{-\epsilon_\ell})$$

The spectrum of  $\rho$  is given by all possible products of eigenvalues of each oscillator mode:  $K \cdot \prod_{\ell} e^{-\epsilon_\ell m_\ell} = \lambda_{\{m_1, \dots, m_\ell\}}$

The entropy is the sum of the entropies of each oscillator. These have density matrices with eigenvalues  $(1 - e^{-\epsilon_\ell}) e^{-\epsilon_\ell m}$ ,  $m=0, \dots, \infty$

$$S_\ell = - \sum_m (1 - e^{-\epsilon_\ell}) e^{-\epsilon_\ell m} \log((1 - e^{-\epsilon_\ell}) e^{-\epsilon_\ell m}) = -\log(1 - e^{-\epsilon_\ell}) + \frac{\epsilon_\ell e^{-\epsilon_\ell}}{1 - e^{-\epsilon_\ell}}$$

$$S(\rho) = \sum_{\ell} S_\ell$$

d) Correlation functions involving different modes will factorize. For a single mode the density matrix is diagonal in occupation number, so only products with the same number of creation and annihilation operators are non vanishing. Then we have only to check the gaussian relation

$$\langle a_\ell^{+k} a_\ell^k \rangle = \sum_{m=k}^{\infty} (1 - e^{-\epsilon_\ell}) e^{-\epsilon_\ell m} m(m-1) \dots (m-k+1) =$$

$$e^{-\epsilon_\ell k} k! \sum_{m=k}^{\infty} (1 - e^{-\epsilon_\ell}) e^{-\epsilon_\ell m} (m+k) \dots k! / (e^{\epsilon_\ell} - 1)^k$$

This is exactly what gives the Gaussian law

$$\langle a_l^{\dagger k} a_l^k \rangle = k! \langle a_l^{\dagger} a_l \rangle^k = \frac{k!}{(e^{\epsilon_l - 1})^k}$$

e)  $[\phi_i, \pi_j] = i \delta_{ij} = 2 \alpha_{il} [a_l^{\dagger}, a_l] i \beta_{js} = -2i \alpha_{il} \beta_{jl} = -2i (\alpha \beta^T)_{ij}$

$$\Rightarrow \boxed{\alpha \beta^T = -1/2}$$

f)  $\text{tr} S \phi_i \phi_j = \text{tr} S (\alpha_{il} a_l^{\dagger} + \alpha_{il} a_l) (\alpha_{js} a_s^{\dagger} + \alpha_{js} a_s) =$

$$= \alpha_{il} m_l (\alpha^T)_{lj} + \alpha_{il} (1+m_l) (\alpha^T)_{lj} \Rightarrow \boxed{X = \alpha (2n+1) \alpha^T}$$

Similarly

$$\langle \pi_i \pi_j \rangle = \langle (-i \beta_{il} a_l^{\dagger} + i \beta_{il} a_l) (-i \beta_{js} a_s^{\dagger} + i \beta_{js} a_s) \rangle =$$

$$= \beta_{il} m_l (\beta^T)_{lj} + \beta_{il} (m_l+1) (\beta^T)_{lj} \Rightarrow \boxed{P = \beta (2n+1) \beta^T}$$

g) Multiplying  $XP = \alpha (2n+1) \alpha^T \beta (2n+1) \beta^T = \frac{\alpha (2n+1)^2 \alpha^{-1}}{4}$

If  $\nu_k$  are the eigenvalues of  $C = \sqrt{XP}$  we have

$$(2m_k+1)^2 \frac{1}{4} = \nu_k^2 \Rightarrow \nu_k = \frac{1}{2} (2(e^{-\epsilon_k} - 1)^{-1} + 1) = \frac{1}{2} \coth(\epsilon_k/2)$$

Then it is algebra to prove that the entropy of the mode  $k$ :

$$S_k = -\log(1 - e^{-\epsilon_k}) + \frac{\epsilon_k e^{-\epsilon_k}}{1 - e^{-\epsilon_k}} = (\nu_k + 1/2) \log(\nu_k + 1/2) - (\nu_k - 1/2) \log(\nu_k - 1/2)$$

and then  $S(\mathcal{P}) = \text{tr}((C+1/2) \log(C+1/2) - (C-1/2) \log(C-1/2))$

For the single entropies we have for each mode:

$$\text{tr} \rho_l^m = (1 - e^{-\epsilon_l})^m \sum_{n=0}^{\infty} e^{-\epsilon_l n} = \frac{(1 - e^{-\epsilon_l})^m}{(1 - e^{-\epsilon_l})}$$

$$S_l^m = \frac{1}{m} (m \log(1 - e^{-\epsilon_l}) - \log(1 - e^{-\epsilon_l m}))$$

Again converting this to the variable  $x_e$ , we get summing over  $l$

$$S(\mathcal{P}) = \frac{1}{m-1} \text{tr} \log((c+1/2)^m - (c-1/2)^m)$$

The modular Hamiltonian is  $H = \sum \epsilon_e a_e^\dagger a_e$ .

Inverting the relation

$$\phi_i = \alpha_{ij} (a_j^\dagger + a_j) \Rightarrow a_j^\dagger + a_j = \alpha_{ji}^{-1} \phi_i$$

$$\pi_i = -i \beta_{ij} (a_j^\dagger - a_j) \Rightarrow a_j^\dagger - a_j = i \beta_{ji}^{-1} \pi_i = -2i \alpha_{ji}^T \pi_i$$

$$a_j = (\alpha_{ji}^{-1} \phi_i + 2i \alpha_{ji}^T \pi_i) \frac{1}{2}$$

$$H = (\alpha_{ji}^{-1} \phi_i - 2i \alpha_{ji}^T \pi_i) \epsilon_j (\alpha_{je}^{-1} \phi_e + 2i \alpha_{je}^T \pi_e) \frac{1}{4}$$

The terms with products of  $\phi_i \pi_e$  are:

$$\frac{i}{2} (\alpha_{ji}^{-1} \phi_i \epsilon_j \alpha_{je}^T \pi_e - \alpha_{ji}^T \pi_i \epsilon_j \alpha_{je}^{-1} \phi_e)$$

Except for a constant from the commutation relations this is zero. We do not include this constant in the modular Hamiltonian since it can always be redefined by an additive constant that goes away with the normalization of  $\mathcal{P}$ .

Hence:  $H = \frac{1}{2} \sum_{i,j} \phi_i M_{ij} \phi_j + \pi_i N_{ij} \pi_j$

$$M = \frac{1}{2} (\alpha^{-1})^T \epsilon \alpha^{-1}$$

$$N = 2 \alpha \epsilon \alpha^T$$

Using  $C = \alpha \frac{2m+1}{2} \alpha^{-1}$ ,  $f(C) = \alpha f(\frac{2m+1}{2}) \alpha^{-1}$

and  $f(C) X = \alpha f(\frac{2m+1}{2}) (2m+1) \alpha^T$

Choosing  $f$  such that  $f(\frac{2m+1}{2}) (2m+1) = 2\epsilon$  we obtain

$$N = \frac{1}{c} \log\left(\frac{c+1/2}{c-1/2}\right) X$$

In a similar way it follows

$$M = P \frac{1}{C} \log \left( \frac{C+1/2}{C-1/2} \right)$$

h) If the state is pure  $C = 1/2$ , and  $\overline{X \cdot P} = 1/4$  such that the entropy vanishes.

The eigenvalues of  $XP$  are greater than  $\frac{1}{4}$  because of uncertainty relation. To prove it we make:

$$\langle (N_i^* \phi_i + \mu_i^* \pi_i) (N_i \phi_i + \mu_i \pi_i) \rangle \geq 0$$

$$N^+ X N + \mu^+ P \mu + \frac{i}{2} (N^+ \mu - \mu^+ N) \geq 0$$

Minimizing with respect to  $\mu$ :

$$P \mu - \frac{i}{2} N = 0 \quad \mu = \frac{+i}{2} P^{-1} N$$

$$\text{Then } N^+ X N + \frac{1}{4} N^+ P^{-1} N - \frac{1}{4} N^+ P^{-1} N - \frac{1}{4} N^+ P^{-1} N =$$

$$= N^+ \left( X - \frac{1}{4} P^{-1} \right) N \geq 0 \quad \text{for all } N. \quad \text{Using } N = \sqrt{P} w$$

$w^+ (\sqrt{P} X \sqrt{P} - 1/4) w \geq 0$  for all  $w$ . Then the eigenvalues of  $\sqrt{P} X \sqrt{P}$  are greater than  $1/4$ . But  $\text{tr} (\sqrt{P} X \sqrt{P})^q = \text{tr} (XP)^q$  for all integer  $q \geq 0$  and then the matrices  $XP$  and  $\sqrt{P} X \sqrt{P}$  have the same eigenvalues.

i) First we choose new variables  $\tilde{\phi}_i = O_{ij} \phi_j$  with  $O$  orthogonal, and let  $\tilde{\pi}_i = O_{ij} \pi_j$  be the conjugate momentum. Choosing

$$O^T K O = \begin{pmatrix} \omega_1^2 & & \\ & \ddots & \\ & & \omega_n^2 \end{pmatrix} \text{ to be diagonal, the new hamiltonian}$$

is a sum of harmonic oscillators:

$$H = \frac{1}{2} \sum_i (\tilde{\pi}_i^2 + \tilde{\phi}_i^2 \omega_i^2)$$

The fundamental state has  $\langle 0 | \tilde{\phi}_i^2 | 0 \rangle = \frac{1}{2\omega_i}$ ,  $\langle 0 | \tilde{\pi}_i^2 | 0 \rangle = \frac{1}{2}\omega_i$

This is a standard computation, but a short-path to show this is to note <sup>(6)</sup> for the fundamental state  $\langle \phi_i | H_i | \phi_i \rangle = \frac{\omega_i}{2}$  (zero point energy), and that kinetic and potential energies are equidistributed:

$$\left\langle \frac{1}{2} \tilde{\phi}_i^2 \omega_i^2 \right\rangle = \left\langle \frac{1}{2} \tilde{\pi}_i^2 \right\rangle = \frac{\omega_i}{4} = \frac{1}{2} \langle H \rangle$$

Then  $\langle \phi_i | \phi_j \rangle = (O^T)_{i\ell} \langle \tilde{\phi}_\ell | (O^T)_{js} \tilde{\phi}_s \rangle = (O^T)_{i\ell} \frac{1}{2\omega_\ell} (O_{\ell j})$

$$\Rightarrow X = \frac{1}{2\sqrt{K}}$$

Similarly  $P = \frac{\sqrt{K}}{2}$

The fundamental state then has  $XP = \frac{1}{4}$ , and the entropy is 0.